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PATENT
18680-250518
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4/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
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In re Patent Application of:

)
PHILIP T. DAVIS, ET AL.

)
Serial No.: 09/328,856

)
Filed: June 9, 1999

)
For: METHOD AND SYSTEM FOR
PROVIDING INSURANCE PROTECTION
AGAINST LOSS OF RETIREMENT
ACCUMULATIONS IN A TAX FAVORED
DEFINED CONTRIBUTION PLAN IN THE
EVENT OF A PARTICIPANT'S DISABILITY

)
Art Unit: 2166

)
Technology Center 2100
Examiner: S. RIMELL

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Assistant Commissioner for Patents
Washington D.C. 20231, on

March 11, 2002

Date of Deposit

Richard H. Zettler, Reg. No. 27,248

Name

Signature

3/11/02

Date

SECOND SUPPLEMENTAL AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Further to the Applicants' Supplemental Amendment and Response, which was submitted on February 28, 2002, the Applicants hereby submit the instant Second Supplemental Amendment and respectfully request that the above-referenced application be amended as follows and the accompanying remarks considered.

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In re Application of PHILIP T. DAVIS, ET AL.

Serial No.: 09/328,856

Filed: JUNE 9, 1999

For: METHOD AND SYSTEM FOR PROVIDING INSURANCE PROTECTION AGAINST LOSS OF RETIREMENT ACCUMULATIONS IN A TAX FAVORED DEFINED CONTRIBUTION PLAN IN THE EVENT OF A PARTICIPANT'S DISABILITY

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Date: March 11, 2002
Examiner: S. RIMELL
Group Art Unit: 2166

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Sir:

Technology Center 2100

Transmitted herewith is a Second Supplemental Amendment and Response in the above-identified application.

- Small entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.
- A verified statement to establish small entity status under 37 CFR 1.9 and 1.27 is enclosed.
- Certified copy of Patent Application No. _____ filed _____ from which priority is claim under 35 U.S.C. §119.
- No additional fee is required.

The fee has been calculated as shown below:

	(co. 1) Claims Remaining After Amendment		(Col. 2) Highest No. Prev. Paid For	(Col. 3) Present Extra	Small Entity/ Large Entity Rate	Additional Fee Due
Total Claims	19	Minus	45	0	x9 x18	0
Ind. Claims	3	Minus	3	0	x40 x80	0
First Presentation of Multiple Dependent Claims					+270 +135	0
					TOTAL	\$ 0.00

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- If the entry of Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.
- If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
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X The Commissioner is hereby authorized to charge payment of the following fees
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03-3975. **A copy of this sheet is enclosed.**

X Any filing fees under 37 CFR 1.16 for the presentation of extra claims.

X Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,



Richard H. Zaitlen
Reg. No. 27,248

PILLSBURY WINTHROP LLP
ATTORNEYS AT LAW
725 South Figueroa Street, Suite 2800
Los Angeles, CA 90017-5406
Telephone: (213) 488-7100
Facsimile: (213) 629-1033

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03/11/02
Richard H. Zaitlen, Reg. No. 27,248